

12-09-99

JA

PATENT

Docket No. 3203-4015

Express Mail Label No. EJ606954700US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

jc564 U.S. PTO
09/457237
12/08/99

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and
Address(es):

Docket No. 3203-4015

For:

METHOD AND APPARATUS FOR RELATIONAL LINKING BASED UPON
CUSTOMER ACTIVITIES

Enclosed are:

[X] 34 page(s) of specification, 1 page(s) of Abstract, 8 page(s) of claims

[X] 22 sheets of drawing (Figs. 1-13H) [X] formal [] informal

[] page(s) of Declaration and Power of Attorney

- [] Unsigned
- [] Newly Executed
- [] Copy from prior application
- [] Copy of Associate Power of Attorney

[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[] Microfiche Computer Program (Appendix)

[] page(s) of Sequence Listing

[] computer readable disk containing Sequence Listing

jc662 U.S. PTO
12/08/99

09457237 120899

- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☐ Claim for Priority
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of ____ cited references
- ☐ Copy of PTO-1449 filed in parent application serial No. ____.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ Assignment papers filed in parent application Serial No. ____.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. ____.
- ☐ Cancel in this application original claims 2-97 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until ____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. ____,
filed ____.


I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee
Total Claims	41	-20=	21	x\$18.00	\$378.00
Independent Claims	13	- 3=	10	X\$78.00	\$780.00
Multiple Dependent Claims					
	[] yes		Additional Fee =	\$260.00	\$0
	[X] no		Add'l Fee =	NONE	

Total: \$ 1918.00

- [] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$_____.
- [] A check in the amount of \$_____ in payment of the application filing fees is attached.
- [x] Charge Fee(s) to Deposit Account No. 13-4500. Order No. 3203-4015. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [x] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3203-4015. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

By: 
Richard Straussman
Registration No. 39,847

Dated: December 8, 1999

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

FORM: UTL-TRAN.NY
Rev. 11/13/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Docket No. 3203-4015
Serial No. : To Be Assigned
Filed : December 8, 1999
For : Method and Apparatus For Relational Linking Based Upon Customer Activities

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ606954700US

Date of Deposit December 8, 1999

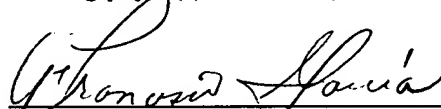
I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Application Fee Transmittal (in duplicate); enclosing Specification (34 pages), claims (8 pages), abstract (1 page), 22 sheets of drawings (FIGS. 1-13H);
2. Return postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

FRANCISCO J. GARCIA

(Typed or printed name of person
mailing paper(s) and/or fee)



(Signature of person mailing
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile